

Form ADV Part 2B Disclosure Brochure Supplement

Deerfield Financial Advisors

Susan Steel, CFP®

310 E. 96th Street, Suite 375
Indianapolis, IN 46240
317-469-2455
www.deerfieldfa.com

Date of Brochure: March 2026

This brochure supplement provides information about Susan Steel that supplements the Deerfield Financial Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact us at 317-469-2455 or admin@deerfieldfa.com if you did not receive the Deerfield Financial Advisors brochure or if you have any questions about the contents of this supplement. Additional information about Susan Steel is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Born: 1969

Educational Background:

- ✓ Ball State University - 1991, BA, Business Management

Business Experience:

- ✓ Deerfield Financial Advisors, Inc.: August 1991 - Present
 - Current Role(s): President, Chief Operations Officer, Wealth Manager

Professional Designations:

CERTIFIED FINANCIAL PLANNER™

Ms. Steel is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university. Depending upon undergraduate course specialization, additional education may be required to assure instruction in financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). This education may be obtained from approved Financial Planning programs or from narrower scope planning fields such as accounting or law, as evidenced by obtained credentials such as CPA or JD. Candidates must also have at least 3 years of full-time personal financial planning experience, measured as 2,000 hours per year. CFP® candidates are required to pass an extensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone

earning designation as a CFP® must complete 30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct.

CFP Acknowledgment: Susan Steel acknowledges her responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mrs. Steel's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Item 3 – Disciplinary Information

Ms. Steel has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Ms. Steel is not engaged in any business activities outside of Deerfield Financial Advisors, Inc.

Item 5 – Additional Compensation

Ms. Steel's total compensation consists of a salary combined with her share of firm ownership profits.

Item 6 – Supervision

Deerfield provides investment advisory and supervisory services in accordance with the firm's *Rule 206(4)-7 Policies and Procedures Manual* to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisors act (*Act*). Deerfield's Chief Compliance Officer, Marsha Kalasmiki, is primarily responsible for implementing Deerfield's policies and procedures and overseeing the activities of supervised persons. Deerfield's employees direct any questions regarding the applicability or relevance of the *Act*, its Rules, or the contents of the *Rule 206(4)-7 Policies and Procedures Manual* to the Chief Compliance Officer. Clients with questions regarding Deerfield's supervision or compliance practices should contact Marsha Kalasmiki at (317) 469-2455.

Form ADV Part 2B Disclosure Brochure Supplement Deerfield Financial Advisors

Bradley R. Cougill, CFP®

310 E. 96th Street, Suite 375
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317-469-2455
www.deerfieldfa.com

Date of Brochure: March 2026

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Item 2 – Educational Background and Business Experience

Born: 1970

Educational Background:

- ✓ Butler University - 1992, BS, Finance (*High Honors*)

Business Experience:

- ✓ Deerfield Financial Advisors, Inc.: January 1997 - Present
 - Current Role(s): Vice President, Chief Investment Officer, Wealth Manager

Professional Designations:

CERTIFIED FINANCIAL PLANNER™

Mr. Cougill is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university. Depending upon undergraduate course specialization, additional education may be required to assure instruction in financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). This education may be obtained from approved Financial Planning programs or from narrower scope planning fields such as accounting or law, as evidenced by obtained credentials such as CPA or JD. Candidates must also have at least 3 years of full-time personal financial planning experience, measured as 2,000 hours per year. CFP® candidates are required to pass an extensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone

earning designation as a CFP® must complete 30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct.

CFP Acknowledgment: Bradley Cougill acknowledges his responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mr. Cougill's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Item 3 – Disciplinary Information

Mr. Cougill has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Cougill is not actively engaged in any businesses or occupations that provides either a substantial source of his income or a substantial amount of his time or in any other investment-related businesses or occupations.

Item 5 – Additional Compensation

Mr. Cougill's total compensation consists of a salary combined with his share of firm ownership profits.

Item 6 – Supervision

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Form ADV Part 2B Disclosure Brochure Supplement Deerfield Financial Advisors

Marcus Miller, CFP®, CPA

310 E. 96th Street, Suite 375
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317-469-2455
www.deerfieldfa.com

Date of Brochure: March 2026

This brochure supplement provides information about Marcus Miller that supplements the Deerfield Financial Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact us at 317-469-2455 or admin@deerfieldfa.com if you did not receive the Deerfield Financial Advisors brochure or if you have any questions about the contents of this supplement. Additional information about Marcus Miller is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Born: 1981

Educational Background:

- ✓ Ball State University, 2008, MS, Accounting
- ✓ Ball State University, 2007, BS, Accounting

Business Experience:

- ✓ Deerfield Financial Advisors, Inc.: January 2012 – Present, Wealth Manager
- ✓ Valeo Financial Advisors: June 2010 – December 2011, Financial Advisor
- ✓ Edward Jones: August 2009 – May 2010, Financial Advisor
- ✓ PricewaterhouseCoopers, LLP: September 2008 – July 2009, Associate
- ✓ Ball State University: January 2005 – July 2008, Full-Time Student
- ✓ Ball State University – Entrepreneurship Department: August 2007 – May 2008, Graduate Assistant
- ✓ Flagstar Bank: April 2005 – November 2006, Financial Services Representative

Professional Designations:

- ✓ Mr. Miller is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university with courses that included financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). Candidates must also have at least 3 years of full-time personal financial planning experience, measured as 2,000 hours per year. CFP® candidates are required to pass an extensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone earning designation as a CFP®

must complete 30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct. CFP Acknowledgment: Marcus Miller acknowledges his responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mr. Miller's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

- ✓ Mr. Miller is a Certified Public Accountant (CPA). A CPA primarily provides financial audit services, including attesting to the reasonableness of disclosures, freedom from material misstatements and adherence to applicable generally accepted accounting principles. To become a CPA, a candidate must pass the Uniform Certified Public Accountant Examination set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy. Typically, eligibility to sit for the examination requires a candidate to have a Bachelor's Degree that includes a minimum number of qualifying credit hours in accounting and business administration plus an additional one year study. Candidates are also required to have public accounting work experience, with minimum requirements varying from state to state. Applicants for CPA status must also complete a special examination on ethics, including a review of state specific rules for professional practice. All CPAs are required to take continuing education courses. The requirements vary by state but usually require 120 hours of continuing education every three years with at least 20 hours taken every year. Many states require CPAs to take an ethics course during every renewal period, with courses ranging from 2-8 hours.

Item 3 – Disciplinary Information

Mr. Miller has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Miller is not engaged in any business activities outside of Deerfield Financial Advisors, Inc.

Item 5 – Additional Compensation

Mr. Miller's total compensation consists of a salary combined with his share of firm ownership profits.

Item 6 – Supervision

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Form ADV Part 2B Disclosure Brochure Supplement Deerfield Financial Advisors

Matthew Roop, CFP®

310 E. 96th Street, Suite 375
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Date of Brochure: March 2026

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Item 2 – Educational Background and Business Experience

Born: 1973

Educational Background:

- ✓ Indiana University, Bachelors of Science in Nuclear Medicine Technology, 1995
- ✓ George Washington University, Masters of Business Administration, 2004

Business Experience:

- ✓ Deerfield Financial Advisors, Inc.: April 2015 – Present, Wealth Manager
- ✓ Catalyst Financial Consulting: January 2014 – June 2015, Owner and Investment Advisor Representative
- ✓ Premier Fence of Indiana, LLC: September 2012 – December 2013, Owner
- ✓ Not Employed: August 2011- September 2012
- ✓ Capital City Fence: January 2007 – August 2011, Estimator
- ✓ Not Employed: November 2006 – January 2007
- ✓ Bedel Financial Consulting, Inc.: November 2004 – November 2006, Portfolio Manager

Professional Designations:

- ✓ Mr. Roop is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university with courses that included financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). Candidates must also have at least 3 years of

full-time personal financial planning experience, measured as 2,000 hours per year. CFP® candidates must pass a 2-day comprehensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone earning designation as a CFP® must complete 30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct.

CFP Acknowledgment: Matthew Roop acknowledges his responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mr. Roop's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Item 3 – Disciplinary Information

Mr. Roop has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Roop is not engaged in any business activities outside of Deerfield Financial Advisors, Inc.

Item 5 – Additional Compensation

Mr. Roop's total compensation consists of a salary combined with his share of firm ownership profits.

Item 6 – Supervision

Deerfield provides investment advisory and supervisory services in accordance with the firm's *Rule 206(4)-7 Policies and Procedures Manual* to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisors act (*Act*). Deerfield's Chief Compliance Officer, Marsha Kalasmiki, is primarily responsible for implementing Deerfield's policies and procedures and overseeing the activities of supervised persons. Deerfield's employees direct any questions regarding the applicability or relevance of the *Act*, its Rules, or the contents of the *Rule 206(4)-7 Policies and Procedures Manual* to the Chief Compliance Officer. Clients with questions regarding Deerfield's supervision or compliance practices should contact Marsha Kalasmiki at (317) 469-2455.

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Deerfield Financial Advisors

Aidan Finet, CFP®

310 E. 96th Street, Suite 375
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317-469-2455
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Date of Brochure: March 2026

This brochure supplement provides information about Aidan Finet that supplements the Deerfield Financial Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact us at 317-469-2455 or admin@deerfieldfa.com if you did not receive the Deerfield Financial Advisors brochure or if you have any questions about the contents of this supplement. Additional information about Aidan Finet is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Born: 1995

Educational Background:

- ✓ Indiana University, Bachelors of Science in Public Affairs, 2018

Business Experience:

- ✓ Deerfield Financial Advisors, Inc.: June 2018 – Present, Wealth Manager
- ✓ Indiana University: August 2014 – August 2018, Full-Time Student
- ✓ Kilroy's on Kirkwood: August 2017 – May 2018, Head of Doorstaff
- ✓ Galecki Financial Management, Inc.: August 2013 – August 2017, Financial Planning Intern

Professional Designations:

- ✓ Mr. Finet is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university with courses that included financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). Candidates must also have at least 2 years of full-time personal financial planning experience, if working directly with clients, measured as 2,000 hours per year. CFP® candidates must pass a comprehensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone earning designation as a CFP® must complete

30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct.

CFP Acknowledgment: Aidan Finet acknowledges his responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mr. Finet's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Item 3 – Disciplinary Information

Mr. Finet has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Finet is not engaged in any business activities outside of Deerfield Financial Advisors, Inc.

Item 5 – Additional Compensation

Mr. Finet's annual compensation is based, in part, on the amount of assets under management that he introduces to Deerfield. Specifically, Mr. Finet is eligible to receive a bonus based on a percentage of the fees collected by referred clients (both direct and secondary referrals) for the first year after a client enters into an agreement with Deerfield. Accordingly, Mr. Finet has a conflict of interest in recommending the Deerfield to clients for investment advisory services, as the recommendation is influenced by his financial interest instead of based solely on a client or prospective client's best interests. Deerfield believes that Mr. Finet's financial interests are aligned with referred clients. However, Deerfield seeks to mitigate this conflict of interest by disclosing it to clients.

Item 6 – Supervision

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Form ADV Part 2B Disclosure Brochure Supplement Deerfield Financial Advisors

Nathan Melchi, CFP®

310 E. 96th Street, Suite 375
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317-469-2455
www.deerfieldfa.com

Date of Brochure: March 2026

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Item 2 – Educational Background and Business Experience

Born: 1998

Educational Background:

- ✓ Wabash College, Psychology Degree, 2020

Business Experience:

- ✓ Deerfield Financial Advisors, Inc. - October 2025 – Present, Associate Advisor
- ✓ LPL Enterprise, LLC – 11/2024 -10/2025 – Investment Adviser Representative
- ✓ The Prudential Insurance Company of America – 04/2024 – 11/2024 – Financial Professional
- ✓ PRUCO Securities LLC – 03/2024 – 11/2024 – Registered Representative
- ✓ LPL Financial – 03/2023 – 03/2024 – Registered Representative
- ✓ State Bank – 04/2022 – 04/2023 – Personal Banker & Assistant Branch Manager
- ✓ Doordash – 01/2022 – 04/2022 – Delivery Driver
- ✓ IMB Consulting – 09/2021 – 01/2022 – Operations Supervisor
- ✓ Carmel High School – 07/2020 – 12/2021 – Football Coach
- ✓ Pure Edible Oils – 07/2020 – 12/2021 – Delivery Driver, Sales Support Supervisor
- ✓ Kroger – 03/2020 – 07/2020 – Kroger Pick-up Specialist
- ✓ Wabash College – 08/2016 – 05/2020 – College Student

Professional Designations:

- ✓ Mr. Melchi is a CERTIFIED FINANCIAL PLANNER™ practitioner or CFP® practitioner. The CERTIFIED FINANCIAL PLANNER™ designation is issued by the CERTIFIED FINANCIAL PLANNER Board of Standards, Inc. It is a voluntary certification recognized by the United States and other countries for its (1) high standard of professional education, (2) stringent code of conduct

and standards of practice and (3) ethical requirements governing professional engagements with clients. A candidate for designation must first obtain a Bachelor's Degree from an accredited college or university with courses that included financial planning subject areas (e.g. insurance planning, risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning). Candidates must also have at least 2 years of full-time personal financial planning experience, if working directly with clients, measured as 2,000 hours per year. CFP® candidates must pass a comprehensive examination designed to test their ability to correctly diagnose financial planning issues and apply their knowledge to real world circumstances. Candidates must also agree to be bound by the CERTIFIED FINANCIAL PLANNER Board's Standards of Professional Conduct. Anyone earning designation as a CFP® must complete 30 hours of continuing education every two years and renew the agreement to be bound by the Standards of Professional Conduct.

CFP Acknowledgment: Nathan Melchi acknowledges his responsibility as a CFP® Certificant to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct. If you become aware that Mr. Melchi's conduct may violate the Standards of Professional Conduct, you may file a complaint with the CFP Board at www.CFP.net/complaint.

Item 3 – Disciplinary Information

Mr. Melchi has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Mr. Melchi is not engaged in any business activities outside of Deerfield Financial Advisors, Inc.

Item 5 – Additional Compensation

Other than receipt of his normal salary, Mr. Melchi receives no additional compensation for providing advisory services through Deerfield.

Item 6 – Supervision

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